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Dated: January 26, 2006

Signature:

*Janet Holbrah*  
(Janet Holbrah)

Docket No.: 476172000100  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Calvin B. GRIGSBY

Application No.: 09/896,831

Confirmation No.: 5861

Filed: June 28, 2001

Art Unit: 3628

For: METHOD AND APPARATUS FOR  
OFFERING, PRICING, AND SELLING  
SECURITIES OVER A NETWORK

Examiner: C. B. Graham

**AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

This is in response to the non-final Office Action dated September 22, 2005 (Paper No. 20050609). Filed herewith is a Petition and fee for a two-month extension of time, thereby extending the deadline for reply to February 22, 2006. Accordingly, this response is timely filed. Reconsideration and allowance of the pending claims, as amended, in light of the remarks presented herein are respectfully requested.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

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Application No.: 09/896,831

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Docket No.: 476172000100

**The Remarks begin on page 11 of this paper.**

**The Conclusion begins on page 14 of this paper.**

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